

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

WESLEY S. STRAIT,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting  
Commissioner of Social Security  
Administration<sup>1</sup>,

Defendant.

NO: 12-CV-0181-TOR

ORDER GRANTING DEFENDANT'S  
MOTION FOR SUMMARY  
JUDGMENT

BEFORE THE COURT are the parties' cross motions for summary judgment (ECF Nos. 20, 24). Plaintiff is represented by Maureen J. Rosette.

<sup>1</sup> Carolyn W. Colvin became the Acting Commissioner of Social Security on February 14, 2013. Pursuant to Rule 25(d) of the Federal Rules of Civil Procedure, Carolyn W. Colvin is substituted for Michael J. Astrue as the defendant in this suit. No further action need be taken to continue this suit by reason of the last sentence of 42 U.S.C. § 405(g).

ORDER GRANTING DEFENDANT'S MOTION FOR SUMMARY  
JUDGMENT ~ 1

1 Defendant is represented by Willy M. Le. The Court has reviewed the  
2 administrative record and the parties' completed briefing and is fully informed.  
3 There being no reason to delay a decision, the hearing set for May 5, 2014 is  
4 vacated and this matter is submitted without oral argument. For the reasons  
5 discussed below, the Court grants Defendant's motion and denies Plaintiff's  
6 motion.

### 7 JURISDICTION

8 The Court has jurisdiction over this case pursuant to 42 U.S.C. §§ 405(g).

### 9 STANDARD OF REVIEW

10 A district court's review of a final decision of the Commissioner of Social  
11 Security is governed by 42 U.S.C. § 405(g). The scope of review under §405(g) is  
12 limited: the Commissioner's decision will be disturbed "only if it is not supported  
13 by substantial evidence or is based on legal error." *Hill v. Astrue*, 688 F.3d 1144,  
14 1149 (9th Cir. 2012) (citing 42 U.S.C. § 405(g)). "Substantial evidence" means  
15 relevant evidence that "a reasonable mind might accept as adequate to support a  
16 conclusion." *Id.* (quotation and citation omitted). Stated differently, substantial  
17 evidence equates to "more than a mere scintilla[,] but less than a preponderance."  
18 *Id.* (quotation and citation omitted). In determining whether this standard has been  
19 satisfied, a reviewing court must consider the entire record as a whole rather than  
20 searching for supporting evidence in isolation. *Id.*

1 In reviewing a denial of benefits, a district court may not substitute its  
2 judgment for that of the Commissioner. If the evidence in the record “is  
3 susceptible to more than one rational interpretation, [the court] must uphold the  
4 ALJ’s findings if they are supported by inferences reasonably drawn from the  
5 record.” *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012). Further, a district  
6 court “may not reverse an ALJ’s decision on account of an error that is harmless.”  
7 *Id.* at 1111. An error is harmless “where it is inconsequential to the [ALJ’s]  
8 ultimate nondisability determination.” *Id.* at 1115 (quotation and citation omitted).  
9 The party appealing the ALJ’s decision generally bears the burden of establishing  
10 that it was harmed. *Shinseki v. Sanders*, 556 U.S. 396, 409-10 (2009).

#### 11 FIVE-STEP SEQUENTIAL EVALUATION PROCESS

12 A claimant must satisfy two conditions to be considered “disabled” within  
13 the meaning of the Social Security Act. First, the claimant must be “unable to  
14 engage in any substantial gainful activity by reason of any medically determinable  
15 physical or mental impairment which can be expected to result in death or which  
16 has lasted or can be expected to last for a continuous period of not less than twelve  
17 months.” 42 U.S.C. § 1382c(a)(3)(A). Second, the claimant’s impairment must be  
18 “of such severity that he is not only unable to do his previous work[,] but cannot,  
19 considering his age, education, and work experience, engage in any other kind of  
20

1 substantial gainful work which exists in the national economy.” 42 U.S.C. §  
2 1382c(a)(3)(B).

3 The Commissioner has established a five-step sequential analysis to  
4 determine whether a claimant satisfies the above criteria. *See* 20 C.F.R. §  
5 416.920(a)(4)(i)-(v). At step one, the Commissioner considers the claimant’s work  
6 activity. 20 C.F.R. § 416.920(a)(4)(i). If the claimant is engaged in “substantial  
7 gainful activity,” the Commissioner must find that the claimant is not disabled. 20  
8 C.F.R. § 416.920(b).

9 If the claimant is not engaged in substantial gainful activities, the analysis  
10 proceeds to step two. At this step, the Commissioner considers the severity of the  
11 claimant’s impairment. 20 C.F.R. §416.920(a)(4)(ii). If the claimant suffers from  
12 “any impairment or combination of impairments which significantly limits [his or  
13 her] physical or mental ability to do basic work activities,” the analysis proceeds to  
14 step three. 20 C.F.R. §416.920(c). If the claimant’s impairment does not satisfy  
15 this severity threshold, however, the Commissioner must find that the claimant is  
16 not disabled. *Id.*

17 At step three, the Commissioner compares the claimant’s impairment to  
18 several impairments recognized by the Commissioner to be so severe as to  
19 preclude a person from engaging in substantial gainful activity. 20 C.F.R.  
20 §416.920(a)(4)(iii). If the impairment is as severe or more severe than one of the

1 enumerated impairments, the Commissioner must find the claimant disabled and  
2 award benefits. 20 C.F.R. § 416.920(d).

3 If the severity of the claimant's impairment does meet or exceed the severity  
4 of the enumerated impairments, the Commissioner must pause to assess the  
5 claimant's "residual functional capacity." Residual functional capacity ("RFC"),  
6 defined generally as the claimant's ability to perform physical and mental work  
7 activities on a sustained basis despite his or her limitations (20 C.F.R. §  
8 416.945(a)(1)), is relevant to both the fourth and fifth steps of the analysis.

9 At step four, the Commissioner considers whether, in view of the claimant's  
10 RFC, the claimant is capable of performing work that he or she has performed in  
11 the past ("past relevant work"). 20 C.F.R. § 416.920(a)(4)(iv). If the claimant is  
12 capable of performing past relevant work, the Commissioner must find that the  
13 claimant is not disabled. 20 C.F.R. § 416.920(f). If the claimant is incapable of  
14 performing such work, the analysis proceeds to step five.

15 At step five, the Commissioner considers whether, in view of the claimant's  
16 RFC, the claimant is capable of performing other work in the national economy.  
17 20 C.F.R. § 416.920(a)(4)(v). In making this determination, the Commissioner  
18 must also consider vocational factors such as the claimant's age, education and  
19 work experience. *Id.* If the claimant is capable of adjusting to other work, the  
20 Commissioner must find that the claimant is not disabled. 20 C.F.R. §

1 416.920(g)(1). If the claimant is not capable of adjusting to other work, the  
2 analysis concludes with a finding that the claimant is disabled and is therefore  
3 entitled to benefits. *Id.*

4 The claimant bears the burden of proof at steps one through four above.  
5 *Lockwood v. Comm’r of Soc. Sec. Admin.*, 616 F.3d 1068, 1071 (9th Cir. 2010). If  
6 the analysis proceeds to step five, the burden shifts to the Commissioner to  
7 establish that (1) the claimant is capable of performing other work; and (2) such  
8 work “exists in significant numbers in the national economy.” 20 C.F.R. §  
9 416.960(c)(2); *Beltran v. Astrue*, 676 F.3d 1203, 1206 (9th Cir. 2012).

#### 10 ALJ’S FINDINGS

11 Plaintiff applied for Title II disability insurance benefits on June 16, 2009.<sup>2</sup>  
12 The application alleged an onset date of November 2, 2002.<sup>3</sup> Plaintiff’s

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13 <sup>2</sup> Plaintiff previously filed a claim for Title II disability benefits on February 9,  
14 2007, alleging disability beginning November 2, 2003. The claim was denied on  
15 June 20, 2007 and the claimant did not request reconsideration. Tr. 85-88. Thus,  
16 as correctly noted by the ALJ, administrative res judicata may apply to this claim.  
17 *See Thompson v. Schweiker*, 665 F.2d 936, 940 (9th Cir. 1982)(noting  
18 administrative res judicata may apply even where claimant did not have hearing,  
19 when claimant failed to pursue administrative appeals and no new facts are  
20 presented in the subsequent application). However, res judicata does not apply

1 applications were denied initially and upon reconsideration. Tr. 89-91, 92-93.  
2 Plaintiff filed a timely request for a hearing (Tr. 94-95) and appeared with an  
3 attorney at a hearing before an administrative law judge (“ALJ”) on September 23,  
4 2010. Tr. 39-81.

5 The ALJ issued her decision on November 15, 2010, finding that Plaintiff  
6 was not disabled under the Act. Tr. 17-26. On March 5, 2012, the Appeals  
7 Council denied Plaintiff’s request for review (Tr. 1-7), making the ALJ’s decision  
8 when an ALJ later considers “on the merits” whether the claimant was disabled  
9 during an already-adjudicated period. *Lester v. Chater*, 81 F.3d 821, 827 n.3 (9th  
10 Cir. 1995). With this in mind the ALJ expressly disavows any intention to reopen  
11 consideration of Plaintiff’s first application, stating that “[d]iscussion of evidence  
12 prior to June 2007 is for background purposes only and does not imply reopening  
13 of the prior claim.” Tr. 17; *see Browning v. Barnhart*, 61 Fed. App’x 503, 504 (9th  
14 Cir. 2003)(distinguishing cases where adjudicator did not disclaim intention to  
15 reopen an earlier application); *Oberg v. Astrue*, 472 Fed. App’x 488, 489 (9th Cir.  
16 2012)(“ALJ made it clear that he was not reopening the prior decision”).

17 <sup>3</sup> The Court notes that the ALJ’s decision issued on November 15, 2010 does not  
18 reflect an amended onset date of October 8, 2008, as orally requested by Plaintiff’s  
19 attorney at the hearing. Tr. 46. Plaintiff does not mention the onset date or raise it  
20 as an issue in his briefing.

1 the Commissioner's final decision that is subject to judicial review. 42 U.S.C. §§  
2 405(g), 1383(c)(3); 20 C.F.R. §§ 416.1481, 422.210.

### 3 ISSUES

4 Plaintiff, Wesley S. Strait, seeks judicial review of the Commissioner's final  
5 decision denying his Title II disability insurance benefits. Plaintiff has raised two  
6 issues for review: (1) whether the ALJ properly considered and/or rejected the  
7 opinions of treating and examining sources (ECF No. 21 at 12); and (2) whether  
8 the ALJ properly considered and/or rejected Plaintiff's testimony regarding his  
9 limitations from his impairments (ECF No. 21 at 16). The Commissioner contends  
10 the final decision in this matter should be affirmed because it is supported by  
11 substantial evidence and contains no harmful legal error. ECF No. 25 at 19.

### 12 DISCUSSION

#### 13 A. Physician Opinions

14 A treating physician's opinions are entitled to substantial weight in social  
15 security proceedings. *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228  
16 (9th Cir. 2009). If a treating or examining physician's opinion is uncontradicted,  
17 an ALJ may reject it only by offering "clear and convincing reasons that are  
18 supported by substantial evidence." *Bayliss v. Barnhart*, 427 F.3d 1211, 1216 (9th  
19 Cir. 2005). "However, the ALJ need not accept the opinion of any physician,  
20 including a treating physician, if that opinion is brief, conclusory and inadequately



1 supported by clinical findings.” *Bray*, 554 F.3d at 1228 (quotation and citation  
2 omitted). “If a treating or examining doctor's opinion is contradicted by another  
3 doctor's opinion, an ALJ may only reject it by providing specific and legitimate  
4 reasons that are supported by substantial evidence.” *Bayliss v. Barnhart*, 427 F.3d  
5 at 1216 (*citing Lester v. Chater*, 81 F.3d 821, 830-831 (9th Cir. 1995)).

6 1. Joyce Everhart, PhD, Jerry Gardner, PhD, Jeffrey Hedge, DO

7 As an initial matter, Plaintiff generally argues that he was more limited from  
8 a psychological standpoint than what was determined by the ALJ. ECF No. 21 at  
9 12. In apparent support for this argument, Plaintiff cites to evaluations by Joyce  
10 Everhart, PhD, Jerry Gardner, PhD, Jeffrey Hedge, DO. ECF No. 21 at 12-13.  
11 However, Plaintiff does not assert any errors as to the ALJ’s consideration of their  
12 respective diagnoses of Plaintiff. The Court declines to further address this issue  
13 which was not argued with specificity. *See Carmickle v. Comm’r, Soc. Sec.*  
14 *Admin.*, 533 F.3d 1155, 1161 n.2 (9th Cir. 2008).

15 2. Dennis Pollack, PhD

16 Plaintiff contends the ALJ did not properly consider nor reject the opinions  
17 of examining physician Dr. Pollack regarding his mental health. ECF No. 21 at  
18 13-15. On September 17, 2010, Dr. Pollack completed a psychological evaluation  
19 of Plaintiff. Dr. Pollack reviewed Plaintiff’s medical records; interviewed him;  
20 administered personality, intelligence, neuropsychological, and malingering

1 testing; and completed a Mental Medical Source Statement regarding his mental  
2 limitations. Tr. 1848-1858. Plaintiff was diagnosed with somatization disorder;  
3 attention deficit/hyperactivity disorder; depressive disorder, NOS; and personality  
4 disorder, NOS. Tr. 1854. Dr. Pollack opined that Plaintiff would have moderate  
5 limitations with regard to his ability to accept instructions and respond  
6 appropriately to criticism from supervisors; marked limitations with regard to his  
7 ability to perform activities within a schedule, maintain regular attendance, and be  
8 punctual with customary tolerances; and marked limitations with regard to his  
9 ability to complete a normal work day and work week without interruptions from  
10 psychologically based symptoms and perform at a consistent pace without an  
11 unreasonable number and length of rest periods. Tr. 1856.

12 The ALJ gave significant weight to Dr. Pollack's conclusion that Plaintiff  
13 "had no limitation or only mild limitation in most aspects of understanding and  
14 memory, concentration and persistence, social interaction and adaptation." Tr.  
15 1855-57. However, she gave less weight to Dr. Pollack's opinion that that Plaintiff  
16 had marked limitations in his ability to complete a normal workday and maintain  
17 regular attendance. Tr. 1856. The ALJ found these conclusions were inconsistent  
18 with Dr. Pollack's own findings, the overall medical record, and claimant's daily  
19 activities. In contrast, the ALJ gave significant weight to the medical opinions of  
20 state agency consultants Dr. Cynthia Collingwood (Tr. 1320-1333) and Dr. Patricia

1 Kraft (Tr. 1342), who opined that the claimant's mental impairments were non-  
2 severe. Tr. 25. Plaintiff contends that the reasons provided by the ALJ for  
3 rejecting the opinion of Dr. Pollack were not supported by substantial evidence.  
4 Having reviewed Dr. Pollack's report in its entirety, the Court concludes that  
5 Plaintiff's arguments are unavailing.

6 Here, Dr. Pollack's opinion as examining physician was contradicted by the  
7 stage agency consultants' opinions. Thus, the Court must determine whether the  
8 ALJ properly rejected Dr. Pollack's opinion as an examining physician by  
9 providing specific and legitimate reasons that are supported by substantial  
10 evidence in the record. *See Bayliss*, 427 F.3d at 1216. Plaintiff contends that the  
11 ALJ's finding that Dr. Pollack's opinion was not consistent with his own findings  
12 or the overall medical record is a "boilerplate statement" that does not achieve the  
13 level of specificity required to reject Dr. Pollack's opinion. ECF No. 21 at 15.  
14 However, an ALJ may discredit physician's opinions that "are conclusory, brief,  
15 and unsupported by the record as a whole ... or by objective medical findings."  
16 *Batson v. Comm'r of the Soc. Sec. Admin.*, 359 F.3d 1190, 1195 (9th Cir. 2004).

17 First, the ALJ specifically found that Dr. Pollack's opinion was "entirely  
18 inconsistent" with his own findings "that show little to no problem with  
19 concentration, persistence, or pace." Tr. 25. Far from a "boilerplate" statement,  
20 the ALJ identified specific portions of Dr. Pollack's findings on the Mental

1 Medical Source Statement that found no limitation or mild limitation in the  
2 categories of concentration, persistence or pace; and found them inconsistent with  
3 his finding of moderate and marked limitations in the ability to complete a normal  
4 workday and maintain regular attendance. Tr. 25. Moreover, Dr. Pollack's  
5 narrative report indicated that Plaintiff was "neatly groomed," "cooperative," and  
6 "organized in his presentation of self." Tr. 1848. His answers were "extremely  
7 detailed" and "[h]is thinking was logical and progressive. *Id.* Plaintiff's  
8 intelligence scores were in the average to high average range. Tr. 1852. His  
9 neuropsychological testing was mostly in the normal range, with one test  
10 indicating that he had an impulsive style and indicated a difficulty with vigilance.  
11 Tr. 1853. Personality test scores did indicate that Plaintiff may struggle with  
12 "inability to concentrate" and "forgetfulness." Tr. 1852. None of these objective  
13 medical findings support Dr. Pollack's determination that Plaintiff would suffer  
14 "marked limitations" in his ability to maintain attendance, perform activities in a  
15 schedule, or complete a normal workday without interruption. As these findings of  
16 marked and moderate limitations were unsupported and contradicted by other  
17 findings in Dr. Pollack's own report, the ALJ properly found they were entitled to  
18 little weight. *See Batson*, 359 F.3d at 1195.

19 Notably, Dr. Pollack offered no explanation of how his objective medical  
20 conclusions translated to the marked mental limitations indicated on Mental

1 Medical Source Statement. These findings appear on a standardized check-the-box  
2 form and are not referenced elsewhere in Dr. Pollack's report. Tr. 1855-58.  
3 Despite being asked to do so, Dr. Pollack did not explain these limitations in  
4 narrative form. Tr. 1857. Accordingly, these findings are entitled to little weight.  
5 *See Molina v. Astrue*, 674 F.3d 1104, 1111-12 (9th Cir. 2012) (explaining that the  
6 Ninth Circuit has consistently permitted ALJs to "reject check-off reports that do  
7 not contain any explanation of the bases of the [physician's] conclusions")  
8 (quotation, citation and modifications omitted).

9 Second, the ALJ properly found that Dr. Pollack's opinion was inconsistent  
10 with the overall medical record. Tr. 23-25. An examination in May of 2007 by  
11 Joyce Everhart, PhD indicated normal attention, concentration, and intellectual  
12 ability. Tr. 742. Testing showed no difficulty in executive functioning and only a  
13 mild degree of depression. *Id.* Subsequent progress notes show that medication  
14 effectively treated his mental symptoms and mental status evaluations were largely  
15 normal. Tr. 23-24, 910, 1043, 1059, 1095, 1283, 1665, 1761. "Impairments that  
16 can be controlled effectively with medication are not disabling for the purpose of  
17 determining eligibility for SSI benefits." *Warre v. Comm'r of Soc. Sec. Admin.*,  
18 439 F.3d 1001, 1006 (9th Cir. 2006).

19 Third, the ALJ observed that Plaintiff's daily activities were inconsistent  
20 with Dr. Pollack's opinion. Tr. 24-25. However, Plaintiff fails to assert any errors

1 as to whether the ALJ's consideration of daily activities was a specific and  
2 legitimate reason for rejecting Dr. Pollack's opinion. Thus, the Court declines to  
3 further address this issue which was not argued with specificity in Plaintiff's  
4 briefing. *See Carmickle v. Comm'r, Soc. Sec. Admin.*, 533 F.3d 1155, 1161 n.2  
5 (9th Cir. 2008). For all of the reasons detailed above, the Court finds the ALJ  
6 offered specific and legitimate reasons, supported by substantial evidence, for  
7 rejecting Dr. Pollack's opinion as an examining physician.

#### 8 **B. Adverse Credibility Findings**

9 In social security proceedings, a claimant must prove the existence of  
10 physical or mental impairment with "medical evidence consisting of signs,  
11 symptoms, and laboratory findings." 20 C.F.R. § 404.1508. A claimant's  
12 statements about his or her symptoms alone will not suffice. 20 C.F.R. §§  
13 404.1508; 404.1527. Once an impairment has been proven to exist, the claimant  
14 need not offer further medical evidence to substantiate the alleged severity of his or  
15 her symptoms. *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9th Cir. 1991) (en banc).  
16 As long as the impairment "could reasonably be expected to produce [the]  
17 symptoms," 20 C.F.R. § 404.1529(b), the claimant may offer a subjective  
18 evaluation as to the severity of the impairment. *Id.* This rule recognizes that the  
19 severity of a claimant's symptoms "cannot be objectively verified or measured."  
20 *Id.* at 347 (quotation and citation omitted).

1 In the event that an ALJ finds the claimant's subjective assessment  
2 unreliable, however, "the ALJ must make a credibility determination with findings  
3 sufficiently specific to permit [a reviewing] court to conclude that the ALJ did not  
4 arbitrarily discredit claimant's testimony." *Thomas v. Barnhart*, 278 F.3d 947, 958  
5 (9th Cir. 2002). In making such a determination, the ALJ may consider, *inter alia*:  
6 (1) the claimant's reputation for truthfulness; (2) inconsistencies in the claimant's  
7 testimony or between his testimony and his conduct; (3) the claimant's daily living  
8 activities; (4) the claimant's work record; and (5) testimony from physicians or  
9 third parties concerning the nature, severity, and effect of the claimant's condition.  
10 *Id.* The ALJ may also consider a claimant's "unexplained or inadequately  
11 explained failure to seek treatment or to follow a prescribed course of treatment."  
12 *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir. 2008). If there is no evidence  
13 of malingering, the ALJ's reasons for discrediting the claimant's testimony must  
14 be "specific, clear and convincing." *Chaudhry v. Astrue*, 688 F.3d 661, 672 (9th  
15 Cir. 2012) (quotation and citation omitted). The ALJ "must specifically identify  
16 the testimony she or he finds not to be credible and must explain what evidence  
17 undermines the testimony." *Holohan v. Massanari*, 246 F.3d 1195, 1208 (9th Cir.  
18 2001).

19 As identified by the ALJ in her decision, Plaintiff testified that he had pain  
20 in his left knee and had to use a cane to walk. Tr. 68. He had pain in his lower

1 back, neck, and left shoulder. Tr. 56, 60-61. He had 10% range of motion in his  
2 left shoulder, had trouble lifting that arm above his head, and that arm was weak  
3 and went to sleep at night. Tr. 60-61. He testified that he could sit about 15-20  
4 minutes at a time and stand about 15-20 minutes at a time, changing positions  
5 frequently. Tr. 58, 67. He could walk about two blocks. Tr. 68. Plaintiff argues  
6 that the ALJ did not state specifically why Plaintiff's testimony concerning the  
7 severity of his physical limitations including his limited ability to sit, walk, lift, and  
8 use his non-dominant left arm, was not credible and what facts in the record led to  
9 that conclusion. ECF No. 26 at 2. The Court disagrees.

10 Contrary to Plaintiff's assertions, the ALJ provided specific, clear and  
11 convincing reasons for discrediting his testimony. First, the ALJ found that  
12 objective medical findings "reflect far less than disabling limitations." Prior to the  
13 worsening of Plaintiff's knee problem in late 2009, the record showed little  
14 evidence of disabling function. *See* Tr. 23, 1060, 1075-79, 1216, 1272. The ALJ  
15 acknowledged that Plaintiff underwent multiple knee surgeries from December  
16 2009 through June of 2010, and was largely confined to a wheelchair for several  
17 months during this time. Tr. 23. However, the ALJ found no evidence in the  
18 record that the Plaintiff had a disabling limitation that lasted for more than 12  
19 months. *Id.* Further, "[a]s indicated by the voluminous treatment record from the  
20 VA and other sources, the [Plaintiff] has access to a wide range of medical and



1 mental health resources. Interestingly, however, the treatment record largely ends  
2 in June 2010. It contains no surgery report regarding the most recent knee  
3 procedure nor any other objective evidence regarding the current status of the left  
4 knee.” *Id.* As to Plaintiff’s shoulder and back pain, the ALJ recognized that  
5 imaging studies showed abnormalities in the spine and degenerative changes in the  
6 left shoulder, but clinical examinations “showed minimal functional restrictions”  
7 and “were generally unremarkable.” *Id.*; see also Tr. 746, 1090, 1218-22, 1230,  
8 1474, 1480, 1661-62. It is proper for the ALJ to consider a lack of medical  
9 evidence in a credibility analysis. *Burch v. Barnhart*, 400 F.3d 676, 681 (9th Cir.  
10 2005)(“lack of medical evidence” can be “a factor” in discrediting testimony, but  
11 “cannot form the sole basis”).

12 Second, the ALJ found “serious credibility concerns” due to inconsistent  
13 statements by Plaintiff and evidence of symptom exaggeration. Tr. 24; *see*  
14 *Tonapetyan v. Halter*, 242 F.3d 1144, 1148 (9th Cir. 2001)(inconsistent statements  
15 and “tendency to exaggerate” are specific and convincing reasons to discredit  
16 credibility). Most glaringly, the record shows that Plaintiff had a black belt karate  
17 test on June 27, 2009, and engaged in heavy martial arts up to six nights a week  
18 through June 2009. Tr. 1216, 1226, 1241. When confronted with this evidence at  
19 the hearing, Plaintiff testified that he told people at the nursing home that he used  
20 to do karate. Tr. 71-73. However, the ALJ found that this explanation was

1 “unlikely” because the claimant made these statements to providers in April of  
2 2009, well before he entered the nursing home. Tr. 24. Medical records from  
3 April 2010 indicated that Plaintiff and his family enjoyed doing martial arts  
4 together 3 to 4 times per week. Tr. 1761. Additionally, the ALJ noted an incident  
5 in December 2009, when Plaintiff received narcotic pain medication for neck and  
6 shoulder pain after a car accident. Tr. 24. He assured the doctor he had someone  
7 to drive him home, and was later observed walking to the parking lot, getting in his  
8 car, and driving himself home. Tr. 1347. Similarly, during Plaintiff’s nursing  
9 home stay in 2010, he requested an assistant to push him in his wheelchair due to  
10 left shoulder pain, but was later observed pushing himself through the facility and  
11 into the parking lot without any appearance of shoulder pain. Tr. 1676.

12 Dr. Pollack noted that Plaintiff reported inconsistent income amounts, and  
13 test results indicated he could be exaggerating his symptoms. Tr. 1854. Plaintiff  
14 told Dr. Pollack in September 2010 that he had just recently closed down a  
15 business he owned and operated, which contradicts Plaintiff’s testimony that he  
16 last worked in October 2008. Tr. 45, 1849. Dr. Pollack also remarked that a  
17 review of Plaintiff’s medical records indicated that “physicians do not always  
18 support his complaints to the extent that he reports them.” *Id.*

19 Third, the ALJ found evidence that Plaintiff may have stopped working for  
20 reasons unrelated to his disability. Tr. 24. In September 2008, Plaintiff told

1 medical providers that he may get fired because his employer “changed the rules  
2 on [him]” multiple times. Tr. 1063. The ALJ may reasonably draw an adverse  
3 inference from evidence that Plaintiff stopped working for reasons other than his  
4 allegedly disabling medical condition. *See Bruton v. Massanari*, 268 F.3d 824,  
5 828 (9th Cir. 2001)(finding claimant not credible in part because he was laid off,  
6 not because he was injured).

7 Finally, the ALJ found that Plaintiff’s reported daily activities were  
8 consistent with the RFC finding. Tr. 24. Defendants argue this evidence was  
9 properly offered to discredit Plaintiff’s testimony. However, the Ninth Circuit “has  
10 repeatedly asserted that the mere fact that a plaintiff has carried on certain daily  
11 activities ... does not in any way detract from her credibility as to her overall  
12 disability.” *Orn v. Astrue*, 495 F.3d 625, 639 (9th Cir. 2007)(quoting *Vertigan v.*  
13 *Halter*, 260 F.3d 1044, 1050 (9th Cir. 2001)). Moreover, “the two grounds for  
14 using daily activities to form the basis of the adverse credibility determination” are  
15 whether they contradict claimant’s other testimony and (2) whether or not the daily  
16 activities “meet the threshold for transferable work skills.” *Id.* Here, the ALJ did  
17 not explain how Plaintiff’s daily activities contradicted any specific testimony, nor  
18 did she make any specific finding that Plaintiff’s daily activities were transferable  
19 to the work setting. Thus, it would be legal error for the ALJ to base her adverse  
20 credibility findings on Plaintiff’s reported daily activities. However, it is unclear

1 to the Court whether this determination by the ALJ was advanced as a “reason” for  
2 discrediting Plaintiff’s statements.<sup>4</sup> And even assuming *arguendo*, that the ALJ  
3 did offer evidence of daily activities for this purpose, the error was harmless in  
4 light of the substantial evidence given by the ALJ, discussed in detail above, to  
5 support her adverse credibility finding. *See Molina*, 674 F.3d at 1115 (an error is  
6 harmless “where it is inconsequential to the [ALJ’s] ultimate nondisability  
7 determination.”). Furthermore, Plaintiff fails to argue that the ALJ’s consideration  
8 of daily activities to support the adverse credibility finding was a legal error. *See*  
9 *Shinseki*, 556 U.S. at 409-10 (party appealing the agency’s decision generally bears  
10 the burden of establishing that it was harmed).

11 Having thoroughly reviewed the record, the Court finds that the ALJ  
12 supported her adverse credibility findings with specific, clear and convincing  
13 findings which are supported by substantial evidence; and supported her rejection  
14 of Dr. Pollack’s opinion with specific and legitimate reasons that were supported  
15 by substantial evidence. Accordingly, the Court grants summary judgment in  
16 Defendant’s favor.

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19 <sup>4</sup> Claimant’s daily activities were also referenced in the ALJ’s findings regarding  
20 the physician opinion evidence. Tr. 25.

1 **ACCORDINGLY, IT IS HEREBY ORDERED:**

2 1. Plaintiff's Motion for Summary Judgment, ECF No. 20, is **DENIED**.

3 2. Defendant's Motion for Summary Judgment, ECF No. 24, is

4 **GRANTED**.

5 3. The hearing set for May 5, 2014 is **VACATED**.

6 The District Court Executive is hereby directed to file this Order, enter  
7 Judgment for Defendant, provide copies to counsel, and **CLOSE** this file.

8 **DATED** April 18, 2013.



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A handwritten signature in blue ink that reads "Thomas O. Rice".

THOMAS O. RICE  
United States District Judge